FORM PTO-1390

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER 332685.00101

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PCT/EP03/04510

30 April 2003

04 May 2002

AND MIXTURES

TITLE OF INVENTION: METHOD AND DEVICE FOR THE TREATMENT OF SUBSTANCES OR COMPOSITE MATERIALS

APPLICANT(S) FOR DO/ES/US: Christoph MUTHER and Kee Loo TAN

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1.X This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- The US has been elected by the expiration of 19 months from the priority date (Article 31).
- 5.X A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - is attached hereto (required only if not communicated by the International Bureau).
 - b has been communicated by the International Bureau.
 - is not required, as the application was filed in the United States Receiving Office (RO/US). C.
- 6.X An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - is attached hereto.
 - b. has been previously submitted under 35 U.S.C. 154(d)(4).
- 7.X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - are attached hereto (required only if not communicated by the International Bureau).
 - b. have been communicated by the International Bureau.
 - C. have not been made; however, the time limit for making such amendments has NOT expired.
 - Х have not been made and will not be made.
- An English language translation of the amendments to the claims under the PCT Article 19 (35 U.S.C. 371(c)(3)).
- An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- An English language version of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

- 11.X An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13.X A FIRST preliminary amendment.
- 14. A SECOND or SUBSEQUENT preliminary amendment.
- 15. A substitute specification.
- 16. A change of power of attorney and/or address letter.
- 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter. 2 and 35 U.S.C. 1.821 1.825.
- 18. A second copy of the published international application under 35 U.S.C. 154(d)(4).
- A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20.X Other items or information: PTO Form 1449, International Search Report, return receipt postcard

U.S. APPLICATION NO. GE	10991	INTERNATIONAL PCT/EP03/04510	APPLICATION	NO	ATTORNEY'S 332685.00101	DOCKET	NUMBER
21.X The following fees are submitted:					CULATIONS	PTO U	SE ONLY
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) – (5)):							
Neither international p							
nor international search fee (37 CFR 1.445(a)(2) paid to USPTO							
and International Search Report not prepared by the EPO or JPO\$1110.00							
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO							
International preliminary examination (37 CFR 1.482) paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO							
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)							
International preliminary examination fee (37 CFR 1.482) paid to USPTO							
and all claims satisfied provisions of PCT Article 33(1)-(4)							
ENTER APPROPRIATE BASIC FEE AMOUNT =					0.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).							
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$			
Total claims	19-20 =	0	x \$18.00	\$ 0.0			
Independent claims	2 - 3 =	0	x \$86.00	\$ 0.0 \$ 0.0			
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$290.00							
TOTAL OF ABOVE CALCULATIONS =				\$ 950	0.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.				\$ 475	5.00		
SUBTOTAL =				\$ 475	5.00		
Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)).						-	
TOTAL NATIONAL FEE =					5.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +							
TOTAL FEES ENCLOSED=					. 00		
		IUIALFE	ES ENCLUSED-	\$ 475	unt to be	\$	
				Aiiio	refunded:	Þ	
					charged:	\$	
a. A check in the amount of \$ to cover the fees is enclosed. b. X Please charge my Deposit Account No 50-1710 in the amount of \$475.00 to cover the above fees. A duplicate copy of this sheet is enclosed. c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1710. A duplicate copy of this sheet is enclosed. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending settles. SEND ALL CORRESPONDENCE TO: Gilberto M. Villacorta, Ph.D. KATTEN MUCHIN ZAVIS ROSENMAN Customer No. 27160 \$25 West Monroe Street, Suite 1600 Chicago, Illinois, 60661-3693 Fax: (312) 902-1061 Tel: (202) 625-3500 Gilberto M. Villacorta, Ph.D. NAME REGISTRATION NUMBER October 13, 2004							
October 13, 2004							